



## PRIVACY NOTICE

FACTS		WHAT DOES WEDBUSH SECURITIES INC. (“WEDBUSH”) DO WITH YOUR PERSONAL INFORMATION?
<b>Why?</b>	Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.	
<b>What?</b>	<p>The types of personal information we collect and share depend on the products or services you have with us. This information can include:</p> <ul style="list-style-type: none"> <li>• Social Security number and credit history</li> <li>• Income and risk tolerance</li> <li>• Transaction history and investment experience</li> </ul> <p>When you are no longer our customer, we continue to share your information as described in this notice.</p>	
<b>How?</b>	All financial companies need to share customers’ personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers’ personal information; the reasons we choose to share; and whether you can limit this sharing.	

REASONS WE CAN SHARE YOUR PERSONAL INFORMATION	DO WE SHARE?	CAN YOU LIMIT THIS SHARING?
<b>For our everyday business purposes—</b> such as to process your transactions, maintain your account(s), respond to court orders and legal investigations	Yes	No
<b>For our marketing purposes—</b> to offer our products and services to you.	Yes	No
<b>For joint marketing with other financial companies.</b>	Yes	No
<b>For our affiliates’ everyday business purposes —</b> information about your transactions and experiences	Yes	No
<b>For our affiliates’ everyday business purposes—</b> information about your creditworthiness.	No	We don’t share
<b>For our affiliates to market to you.</b>	No	We don’t share
<b>For nonaffiliates to market to you.</b>	No	We don’t share

**Questions?** Call toll-free (800) 834-2153



WHO WE ARE	
<b>Who is providing this notice?</b>	Wedbush Securities Inc., Wedbush Financial Services, LLC; Wedbush Asset Management, LLC; Wedbush Capital Partners; Wedbush Futures; Wedbush Capital Markets; Wedbush Securities Advanced Clearing Services and Kluis Commodity Advisors.
WHAT WE DO	
<b>How does Wedbush protect my personal information?</b>	To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.
<b>How does Wedbush collect my personal information?</b>	<p>We collect your personal information, for example, when you:</p> <ul style="list-style-type: none"> <li>• open an account or seek advice about your investments</li> <li>• direct us to buy or sell securities</li> <li>• enter into an investment advisory contract</li> </ul> <p>We also collect your personal information from others, such as credit bureaus, affiliates, and other companies.</p>
<b>Why can't I limit all sharing?</b>	<p>Federal law gives you the right to limit only:</p> <ul style="list-style-type: none"> <li>• sharing for affiliates' everyday business purposes-information about your creditworthiness</li> <li>• affiliates from using your information to market to you</li> <li>• sharing for non-affiliates to market to you.</li> </ul> <p>State laws and individual companies may give you additional rights to limit sharing. Please see the "Other Important Information" below.</p>
DEFINITIONS	
Affiliates	<p>Companies related by common ownership or control. They can be financial and non-financial companies.</p> <ul style="list-style-type: none"> <li>• <i>Our affiliates include companies with a Wedbush name.</i></li> </ul>
Nonaffiliates	<p>Companies not related by common ownership or control. They can be financial and nonfinancial companies.</p> <ul style="list-style-type: none"> <li>• <i>We do not share with nonaffiliates so they can market to you.</i></li> </ul>
Joint Marketing	<p>A formal agreement between non-affiliated financial companies that together market financial products or services to you.</p> <ul style="list-style-type: none"> <li>• <i>Our joint marketing partners include other financial institutions.</i></li> </ul>



## OTHER IMPORTANT INFORMATION

**To all Wedbush clients.** If your financial advisor leaves Wedbush and joins a different firm with which Wedbush has entered into an agreement regarding financial advisors changing firms, Wedbush will permit your financial advisor to take certain limited information – your name, address, e-mail address, phone number, and account title – to solicit you to join the new firm, unless you limit this sharing. You can limit this sharing at any time by calling toll-free: (800) 834-2153.

**California Residents.** We will share your personal information for joint marketing purposes unless you opt out of that sharing. For instructions on how to opt out, please see our separate notice to you entitled “Important Privacy Choices for Consumers.” California residents have additional rights over personal information that we collect for purposes other than providing financial products and services to you. For an explanation of the rights available to California residents, please see our “California Privacy Policy.”

**Nevada Residents.** We are providing you this additional notice under state law. You may be placed on our internal Do Not Call List by calling us at (800) 834-2153. Nevada law requires we provide the following contact information: You may contact our customer service department if you would like additional information: Wedbush Securities Inc., 1000 Wilshire Blvd., Los Angeles, CA 90017. Tel: (800) 834-2153 or e-mail at [privacy@wedbush.com](mailto:privacy@wedbush.com). You also may contact the Bureau of Consumer Protection, Office of the Nevada Attorney General, 555 E. Washington St., Suite 3900, Las Vegas, NV 89101; Phone number: (702) 486-3132; e-mail: [AGInfo@ag.nv.gov](mailto:AGInfo@ag.nv.gov).

**For Insurance Clients in AZ, CA, CT, GA, IL, ME, MA, MN, MT, NV, NJ, NC, OH, OR, and VA.** “Personal information” means any individually identifiable information gathered in connection with an insurance transaction. We collect personal information about you from applications or other forms you complete, your business dealings with us and other companies, and consumer reporting agencies. We disclose your information in the following circumstances: as described on page 1 of this Privacy Notice; with your consent; to third parties where necessary to determine your eligibility for insurance benefits, process claims, detect fraud or nondisclosure; to insurance regulatory authorities; to law enforcement or other governmental authorities to protect our interests and to prevent fraud; to our service providers who process and maintain your account and coverages; and as otherwise permitted by law; The law allows us to share your financial information with our affiliates to market products or services to you. You cannot prevent these disclosures, except as stated in this Privacy Notice. We protect your nonpublic personal information. The only employees who have access to that information are those who must have it to provide products or services to you. You have the right to access, correct, amend or delete personal information that we have recorded about you. In the event of an adverse underwriting decision, you have the right to submit a written request for the reason(s) for the adverse decision. To exercise these rights, please submit a written request to us at [privacy@wedbush.com](mailto:privacy@wedbush.com).